

Genetic risk and a primary role for cell-mediated immune mechanisms in multiple sclerosis

The International Multiple Sclerosis Genetics Consortium* & the Wellcome Trust Case Control Consortium 2*

Multiple sclerosis is a common disease of the central nervous system in which the interplay between inflammatory and neurodegenerative processes typically results in intermittent neurological disturbance followed by progressive accumulation of disability¹. Epidemiological studies have shown that genetic factors are primarily responsible for the substantially increased frequency of the disease seen in the relatives of affected individuals^{2,3}, and systematic attempts to identify linkage in multiplex families have confirmed that variation within the major histocompatibility complex (MHC) exerts the greatest individual effect on risk⁴. Modestly powered genome-wide association studies (GWAS)^{5–10} have enabled more than 20 additional risk loci to be identified and have shown that multiple variants exerting modest individual effects have a key role in disease susceptibility¹¹. Most of the genetic architecture underlying susceptibility to the disease remains to be defined and is anticipated to require the analysis of sample sizes that are beyond the numbers currently available to individual research groups. In a collaborative GWAS involving 9,772 cases of European descent collected by 23 research groups working in 15 different countries, we have replicated almost all of the previously suggested associations and identified at least a further 29 novel susceptibility loci. Within the MHC we have refined the identity of the *HLA-DRB1* risk alleles and confirmed that variation in the *HLA-A* gene underlies the independent protective effect attributable to the class I region. Immunologically relevant genes are significantly overrepresented among those mapping close to the identified loci and particularly implicate T-helper-cell differentiation in the pathogenesis of multiple sclerosis.

We performed a large GWAS as part of the Wellcome Trust Case Control Consortium 2 (WTCCC2) project. Cases were recruited through the International Multiple Sclerosis Genetics Consortium (IMSGC) and compared with the WTCCC2 common control set^{12,13} supplemented by data from the control arms of existing GWAS. We introduced a number of novel quality control methods for processing these data sets (see Supplementary Information), which ultimately provided reliable information from 9,772 cases and 17,376 controls (Fig. 1a). After single nucleotide polymorphism (SNP)-based quality controls, data from 465,434 autosomal SNPs, common to all internally and externally generated data sets, were available for analysis.

The multi-population nature of our study (Fig. 1a, b) afforded an opportunity to assess various published approaches for controlling the potential confounding effects of population structure, several of which (in the event) proved unhelpful (see Supplementary Information). Although not common in primary GWAS undertaken to date, the challenge of combining data across populations, in contexts where not all case samples have controls available from the same population (thus precluding standard meta-analytical techniques), may become more routine as study sizes increase.

We attempted analyses of the non-United Kingdom (UK) data with the now widespread technique of using principal components as covariates to correct for structure. However, even use of all seven top principal components that captured genome-wide effects in our data

resulted in an unacceptably high genomic inflation: for example, the genomic control factor¹⁴ (λ) was $\lambda = 1.2$. We tried to reduce the genomic inflation by discarding the case samples that seemed least well matched to control sets. Removal of half the available cases in this fashion only reduced λ to 1.1. In another approach to handling structure, statistical clustering algorithms were successful in identifying subgroups of the data within which cases and controls seemed well matched for ancestry (see Supplementary Fig. 17). However, tests within these subgroups combined via fixed-effects meta-analysis also yielded unacceptably high genomic inflation ($\lambda > 1.4$) in an analysis with seven matched subgroups of cases and controls. Lastly, we applied a novel variance components method (similar to one described previously¹⁵), separately to the UK and non-UK data sets, which explicitly accounts for correlations among the

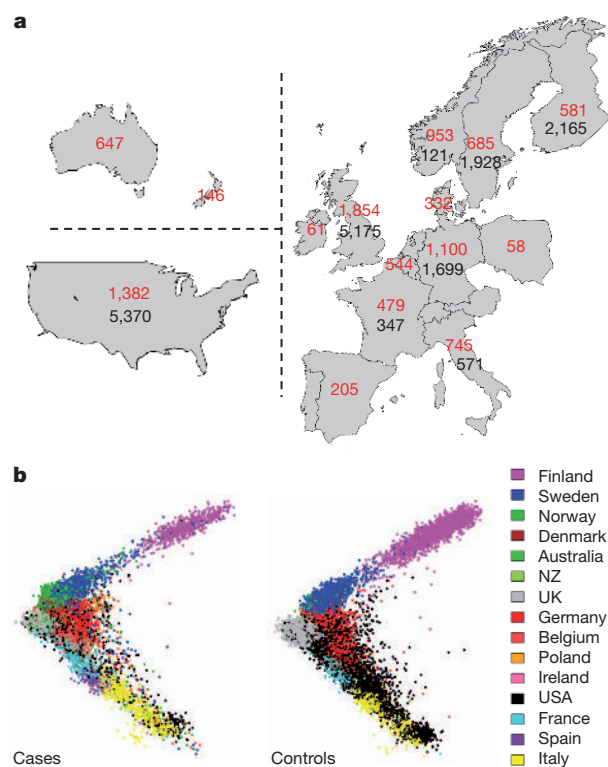


Figure 1 | Distribution of cases and controls. **a**, All cases and controls were drawn from populations with European ancestry; cases from 15 countries and controls from 8. **a**, Numbers of case (red) and control (black) samples from each country. **b**, The projection of samples onto the first two principal components of genetic variation, with cases shown on the left and controls on the right. The axes are orientated to approximate the geography, and samples are colour coded as indicated in the legend. NZ, New Zealand. We genotyped the cases (9,772) and some Swedish controls (527) using the Illumina Human 660-Quad platform, and the UK controls (5,175, the WTCCC2 common control set^{12,13}) using the Illumina 1.2M platform. All other controls were genotyped externally using various Illumina genotyping systems (see Supplementary Information).

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phenotypes of individuals resulting from relatedness, allowing us to deal successfully with all sources of structure in our samples (see Supplementary Information for details of the linear mixed model we used). For example, the genomic inflation was reduced to $\lambda = 0.995$ in the UK and 1.016 in the non-UK data (see also Supplementary Information). After fixed-effects meta-analysis of the results from the UK and non-UK data sets, the inflation factor was $\lambda = 1.045$. We adopted this approach for all subsequent non-MHC association analyses.

Outside the MHC we identified 95 distinct regions having at least one SNP associated with multiple sclerosis at $P_{\text{GWAS}} < 1 \times 10^{-4.5}$; in six of these 95 regions conditional analysis revealed an additional SNP showing association to the same locus (one locus containing two such SNPs). In total we took all 102 SNPs forward to replication, which we performed using data from previously reported multiple sclerosis GWAS^{8,9} and the iControl database (excluding any WTCCC controls previously used in these studies). In total, the replication analysis included data from 4,218 cases and 7,296 controls. These were considered in six independent strata after which results were combined through a fixed-effects meta-analysis. For 98 of the 102 SNPs, the same

allele was overrepresented in cases compared to controls. Twenty three of the 26 previously known or strongly suggested multiple-sclerosis-associated loci were replicated in our primary GWAS with $P_{\text{GWAS}} < 1 \times 10^{-3}$. Our GWAS and replication also revealed another 29 novel associated regions (defined as having $P_{\text{GWAS}} < 1 \times 10^{-4.5}$, one-sided $P_{\text{replication}} < 0.05$ and $P_{\text{combined}} < 5 \times 10^{-8}$), and a further 5 regions with strong evidence for association (with $P_{\text{GWAS}} < 1 \times 10^{-4.5}$, one-sided $P_{\text{replication}} < 0.05$ and $P_{\text{combined}} < 5 \times 10^{-7}$). In one previously reported locus and two novel loci, additional SNPs were identified as being conditionally important in explaining risk. Just over one third of the identified loci overlap with regions already confirmed as associated with at least one other autoimmune disease (according to the GWAS catalogue, <http://www.genome.gov/gwastudies/>). Results for both the previously established and novel loci are shown in Fig. 2 and Supplementary Tables 1–3; and details of all 102 SNPs taken to replication are available in Supplementary Data.

To assess objectively the collective evidence across the associated regions for particular classes of genes, we performed statistical analyses to look for enrichment of genes with similar function. We first identified

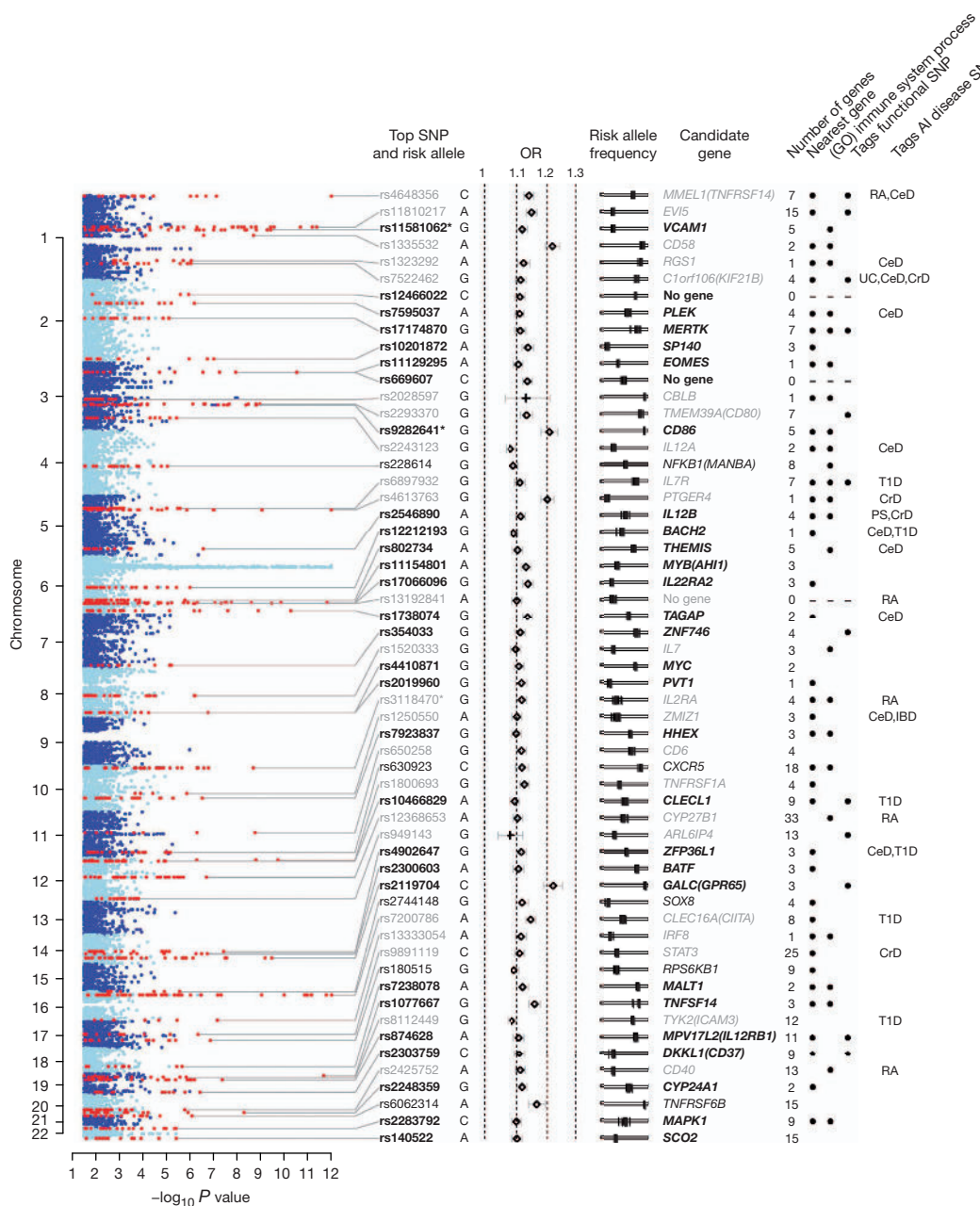


Figure 2 | Regions of the genome showing association to multiple sclerosis. Columns from left to right: first, evidence for association from the linear mixed model analysis of the discovery data (thresholded at $-\log_{10}P$ value = 12). Non-MHC regions containing associated SNPs are shown in red and are labelled with the rs number (bold for newly identified loci, black for strong evidence, grey for previously reported) and risk allele of the most significant SNP. Asterisk indicates that the locus contains a secondary SNP signal. Second, OR and 95% confidence intervals estimated from the meta-analysis of the discovery and replication data (+ indicates estimates for previously known loci from discovery data only). Third, risk allele frequency estimates in each of the control populations used in the study (each is shown as a vertical bar on a scale from 0 to 1 going left to right). For each region of association the number of genes is reported (fifth), and where non-zero a candidate gene is given (fourth). Black dots indicate that the candidate gene is physically the nearest gene (sixth) included in the 'immune system process' GO term (seventh). Eight, when the most-significant SNP tags a SNP predicted to have an impact on the function of the candidate gene this is indicated. Where such an SNP exists, the gene involved is selected as the candidate gene; otherwise the nearest gene is selected unless there are strong biological reasons for a different choice. The final column indicates SNPs that are correlated ($r^2 > 0.1$) with SNPs reported to be associated with other autoimmune (AI) diseases. CeD, coeliac disease; CrD, Crohn's disease; PS, psoriasis; RA, rheumatoid arthritis; T1D, type 1 diabetes; UC, ulcerative colitis. An interactive version of the figure is available at <http://www.well.ox.ac.uk/wtccc2/ms>.

the nearest gene to the lead SNP in each of the (52) regions of association and used the Gene Ontology (GO) database¹⁶ to define sets of functionally related genes (GO terms). We then tested whether the set of nearest genes was enriched for particular GO terms using Fisher's exact test. The GO terms having the most significant enrichment include genes linked to lymphocyte function ($P = 3.2 \times 10^{-11}$, odds ratio (OR) = 35.96) and in particular those with a role in T-cell activation and proliferation ($P = 1.85 \times 10^{-9}$, OR = 40.85). These are representative of a larger group associated with various components of the GO 'immune system process' ($P = 8.6 \times 10^{-11}$, OR = 9.12). A similar analysis based on all genes in or near association regions showed similar enrichment, as did independent analyses based on nearest gene or all genes in our next tier of signals, the 42 regions taken to replication but not meeting the thresholds above for association (see Supplementary Data). Although GO immune system genes only account for 7% of human genes, in 30% of our association regions the nearest gene to the lead SNP is an immune system gene. As an illustration, Fig. 3 shows a schematic of genes involved in the T-helper-cell differentiation pathway; a notable number show strong evidence for association with multiple sclerosis, particularly those acting as cell surface receptors. We infer from this pathway analysis of our GWAS signals that specific classes of immune system genes are especially important in the pathogenesis of multiple sclerosis.

Our screen not only implicates a multitude of genes coding for cytokine pathway (*CXCR5*, *IL2RA*, *IL7R*, *IL7*, *IL12RB1*, *IL22RA2*, *IL12A*, *IL12B*, *IRF8*, *TNFRSF1A*, *TNFRSF14*, *TNFSF14*), co-stimulatory (*CD37*, *CD40*, *CD58*, *CD80*, *CD86*, *CLECL1*) and signal transduction (*CBLB*, *GPR65*, *MALT1*, *RGS1*, *STAT3*, *TAGAP*, *TYK2*) molecules of immunological relevance, but also relates to previously reported environmental risk factors such as vitamin D^{9,17} (*CYP27B1*, *CYP24A1*) and therapies for multiple sclerosis including natalizumab¹⁸ (*VCAM1*) and daclizumab¹⁹ (*IL2RA*). There is a relative absence of genes relevant to potential pathways for neurodegeneration independent of inflammation (*GALC*, *KIF21B*).

To refine our understanding of the MHC associations in multiple sclerosis we imputed classical human leukocyte antigen (HLA) types at six loci (*A*, *B*, *C*, *DQA1*, *DQB1* and *DRB1*)²⁰ and analysed these alongside the SNPs (see Supplementary Information for validation; at alleles responsible for the major signals described later, estimated specificity was at least 0.99 and sensitivity was at least 0.98, except for *DRB1**13:03, where it was 0.88). Primary discovery was focused on the UK cohort with candidate signals being validated through support from additional case-control cohorts. Because of the extensive linkage disequilibrium within the MHC, we identified associated alleles in a stepwise

manner, selecting the most strongly associated to include in a general model, in turn, if $P_{UK} < 10^{-4}$ and $P_{combined} < 10^{-9}$ (Supplementary Information). At each stage we explored possible interactions and departures from the simple model in which risk increases multiplicatively with each additional copy of the relevant allele (additive increase on the log-odds scale) within the logistic risk framework.

Using this approach we found that *DRB1**15:01 has the strongest association with multiple sclerosis among all classical and SNP alleles, with a consistent effect between cohorts ($P < 1 \times 10^{-320}$; Fig. 4a). The data are consistent with an additive effect on the log-odds scale for each additional allele. Conditioning on *DRB1**15:01, we confirmed the presence of a protective class I allele and identified the signal as being driven by *HLA-A**02:01 (as previously suggested²¹), with a consistent effect size across cohorts ($P = 9.1 \times 10^{-23}$; Fig. 4a). Again, we found no strong evidence for departure from additivity on the log-odds scale or statistical interaction with *DRB1**15:01. Conditioning on both *DRB1**15:01 and *A**02:01 revealed additional risk associated with the strongly linked alleles *DRB1**03:01 and *DQB1**02:01 ($P = 3.6 \times 10^{-10}$; Fig. 4a; note that we cannot separate these alleles but for simplicity refer only to *DRB1**03:01 later). Further conditioning identified an additional *DRB1* risk allele *DRB1**13:03 ($P = 1.3 \times 10^{-11}$; Fig. 4a). Although no other classical alleles meet the above criteria, we did observe several SNPs providing independent signals, the strongest coming from rs9277535_G (combined OR 1.28, $P = 2.2 \times 10^{-22}$), an allele known to be in linkage disequilibrium with *DPB1**03:01 ($r^2 = 0.37$)²².

Analysis of the MHC SNP data using a genealogical method (GENECLUSTER)²³ offers an alternative means of relating our results to classical HLA alleles that provides additional insight into the underlying genetic architecture (see Supplementary Information). Figure 4b shows genealogical trees relating the classical alleles at *DRB1* and *HLA-A*, together with the estimated evolutionary position of the mutations predicted by GENECLUSTER, as most completely modelling the association. At *HLA-DRB1*, three mutations are predicted, each of which implicates a clade of haplotypes carrying particular *DRB1* alleles. All of the *DRB1* alleles we have shown to be independently associated are included in these clades, each corresponding to a particular mutation. In addition, the analysis also explains why those haplotypes carrying the *08:01 allele have previously been shown to increase risk^{24,25} as they carry the same mutation as those bearing *13:03. At *HLA-A*, the predicted protective mutation is also concordant with our regression analysis of classical alleles in implicating *02:01 but, in addition, predicts that *68:01, *02:05 and *02:06 carry the same protective allele. All of these secondary predictions (increased risk from *DRB1**08:01 and protection from *HLA-A**68:01, *02:05 and *02:06) are supported in our regression

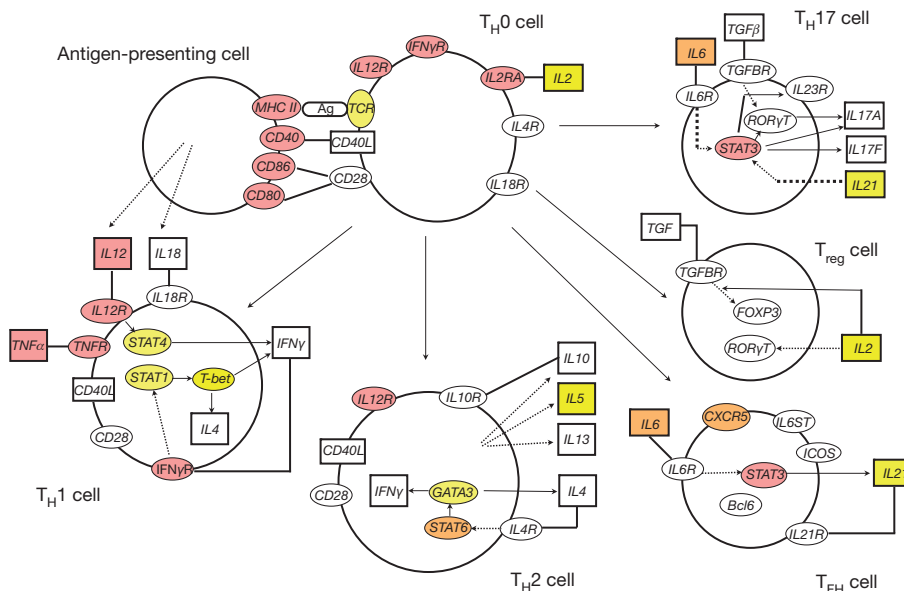


Figure 3 | Graphic representation of the T-helper-cell differentiation pathway. The figure is derived from an image generated by Ingenuity Pathway Analysis (IPA) software version 8.8 (Ingenuity Systems). Alphanumeric labels indicate the individual genes and gene complexes (nodes) included in the pathway (note that some are included more than once). Coloured nodes are those containing a gene implicated by proximity to a SNP showing evidence of association. Red, in bold or grey in Fig. 2 (plus MHC class II region and *TNF*); orange, other loci in Fig. 2 or discovery P value $< 1 \times 10^{-4.5}$ and consistent replication data; yellow, discovery P value $< 1 \times 10^{-5}$. Other molecules (proteins, vitamins etc) may also be of relevance in these processes but are not included here as they are not currently listed as being part of this particular pathway in the IPA database.

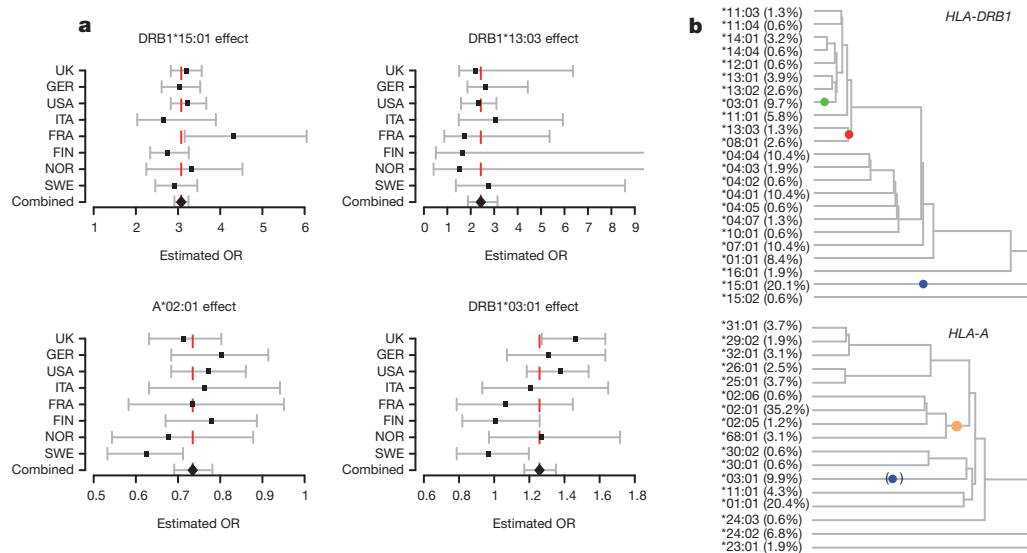


Figure 4 | Results for the main MHC alleles. **a**, Forest plots for each of the primary HLA alleles (HLA-A*02:01, DRB1*15:01, DRB1*03:01 and DRB1*13:03) showing consistency of effect across the populations and combined OR of 0.73, 3.1, 1.26 and 2.4, respectively (whiskers indicate 95% confidence intervals). **b**, The genealogical trees estimated for *DRB1* (top) and *HLA-A* (bottom). These trees were constructed using classical HLA and SNP typing data available from the HapMap CEU haplotype data. Each left-hand branch of the tree terminates on a set of haplotypes carrying a particular HLA allele. The coloured dots indicate the mostly likely locations for a disease-associated mutation as predicted by the GENECLUSTER program²³. In the

DRB1 tree, the blue dot captures a risk effect attributable to all haplotypes carrying the *15:01 allele. The green dot captures a risk effect carried by all haplotypes carrying the *03:01 allele and the red dot captures a risk effect on haplotypes carrying *13:03 or *08:01. In the *HLA-A* plot, the orange dot is a protective mutation lying at the root of all *02:01, *02:05, *02:06 and *68:01 alleles. The blue dot in brackets denotes a branch containing those *03:01 haplotypes that also carry DRB1*15:01; the GENECLUSTER prediction here is thus a reflection, due to linkage disequilibrium of the risk attributable to DRB1*15:01. The terminal branches are labelled with the allele carried by the haplotype and its frequency.

analysis of classical alleles but the power to detect them in the primary analyses is limited because each allele occurs at very low frequency.

We found no evidence for genetic associations with clinical course, severity of disease or month of birth, and no evidence of interaction with gender or DRB1*15:01 in any part of the genome (see Supplementary Information). However, analysis with respect to age at onset replicated the previously suggested association with the DRB1*15:01 allele²⁶. Although no other part of the genome contained individual SNPs showing strong evidence for association, risk alleles determining susceptibility are collectively more closely associated with age at onset than expected by chance, indicating that individual genetic susceptibility is inversely correlated with age at onset.

Our GWAS—large for any complex trait having a prevalence of 1:1,000 and involving diverse populations of European descent—has identified 29 novel susceptibility loci. Four mutations, one from class I and three from class II, with effects modelled in a simple multiplicative manner within and across loci are sufficient to account for most of the risk attributable to the MHC (see Supplementary Information). Although our data do not address the issue of which components within the nervous system are initially damaged by the inflammatory response, the overrepresentation of genes that influence T-cell maturation provides independent and compelling evidence that the critical disease mechanisms primarily involve immune dysregulation.

More generally, our study reinforces the view that the GWAS design, combined with very large experimental sample sizes and careful statistical analysis, provides valuable insights into the genetic architecture of common complex diseases. Here, this approach has identified many associated genetic variants close to genes, which are both individually interesting and collectively illuminate the roles of key biological pathways. It also provides indirect evidence that many more common variants of small effect contribute to genetic susceptibility for multiple sclerosis. Simple models, in which the previously known and newly identified variants affect risk multiplicatively, both within and across loci, explain a meaningful proportion (~20%, see Supplementary Information) of genetic risk for the disease. Important challenges lie ahead in understanding overlap between the genetic basis for

susceptibility in the context of different autoimmune diseases, and in uncovering the functional mechanisms underlying these associations.

METHODS SUMMARY

Details of case ascertainment, processing and genotyping, together with sample and genotyping quality control are provided in Supplementary Information. Statistical methods developed for testing the reliability of externally generated data sets, detecting samples with non-European ancestry, correcting for structure, classical HLA imputation and meta-analysis are also outlined in Supplementary Information. Results for all scans and all reported loci are described in detail in Supplementary Information.

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Supplementary Information is linked to the online version of the paper at www.nature.com/nature.

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Author Contributions Details of individual contributions are listed in Supplementary Information.

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